

Note: Broker-dealers are required to disclose any material arrangements with the venues listed in each section below, including, but not limited to, any internalization or payment for order flow arrangements.

FXA

**SAGEPOINT FINANCIAL, INC.
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SEC Rule 606 Quarterly Report for the Quarter Ending June 30, 2019

Securities Listed on New York Stock Exchange

Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	94.90%
Limit orders as percentage of total non-directed orders	4.83%
Other orders as percentage of total non-directed orders	0.27%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Citadel Securities LLC	58.69%
2. VIRTU Americas LLC	17.50%
3. G1 Execution Services, LLC	15.43%
4. Two Sigma Securities, LLC	3.33%
5. New York Stock Exchange	2.04%
6. UBS Securities, LLC	1.80%
7. Cboe EDGX U.S. Equities Exchange	0.66%
8. NASDAQ Execution Services, LLC	0.27%
9. Cboe BZX U.S. Equities Exchange	0.21%
10. Investors Exchange	0.03%

Information Concerning Significant Venues :

1. Citadel Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	60.55%
Limit orders as percentage of total non-directed orders	22.98%
Other orders as percentage of total non-directed orders	44.44%

2. VIRTU Americas LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	17.97%
Limit orders as percentage of total non-directed orders	8.07%
Other orders as percentage of total non-directed orders	22.22%

3. G1 Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	16.23%
Limit orders as percentage of total non-directed orders	0.62%
Other orders as percentage of total non-directed orders	0.00%

4. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	3.35%
Limit orders as percentage of total non-directed orders	2.48%
Other orders as percentage of total non-directed orders	11.11%

5. New York Stock Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	42.24%
Other orders as percentage of total non-directed orders	0.00%

6. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	1.90%
Limit orders as percentage of total non-directed orders	0.00%
Other orders as percentage of total non-directed orders	0.00%

7. Cboe EDGX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	13.66%
Other orders as percentage of total non-directed orders	0.00%

8. NASDAQ Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	5.59%
Other orders as percentage of total non-directed orders	0.00%

9. Cboe BZX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	4.35%
Other orders as percentage of total non-directed orders	0.00%

10. Investors Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	0.00%
Other orders as percentage of total non-directed orders	11.11%

Securities Listed on The Nasdaq Stock Market

Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	94.62%
Limit orders as percentage of total non-directed orders	4.82%
Other orders as percentage of total non-directed orders	0.56%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Citadel Securities LLC	56.36%
2. VIRTU Americas LLC	20.02%
3. G1 Execution Services, LLC	14.61%
4. Two Sigma Securities, LLC	4.34%
5. NASDAQ Execution Services, LLC	2.30%
6. UBS Securities, LLC	1.56%
7. Cboe EDGX U.S. Equities Exchange	0.56%
8. Cboe BZX U.S. Equities Exchange	0.26%

Information Concerning Significant Venues :

1. Citadel Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	58.19%
Limit orders as percentage of total non-directed orders	15.38%
Other orders as percentage of total non-directed orders	100.00%

2. VIRTU Americas LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	20.38%
Limit orders as percentage of total non-directed orders	15.38%
Other orders as percentage of total non-directed orders	0.00%

3. G1 Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	15.24%
Limit orders as percentage of total non-directed orders	3.85%
Other orders as percentage of total non-directed orders	0.00%

4. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	4.55%
Limit orders as percentage of total non-directed orders	0.77%
Other orders as percentage of total non-directed orders	0.00%

5. NASDAQ Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	47.69%
Other orders as percentage of total non-directed orders	0.00%

6. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	1.65%
Limit orders as percentage of total non-directed orders	0.00%
Other orders as percentage of total non-directed orders	0.00%

7. Cboe EDGX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	11.54%
Other orders as percentage of total non-directed orders	0.00%

8. Cboe BZX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	5.38%
Other orders as percentage of total non-directed orders	0.00%

Securities Listed on NYSE American or Regional Exchanges

Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	97.85%
Limit orders as percentage of total non-directed orders	2.04%
Other orders as percentage of total non-directed orders	0.11%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Citadel Securities LLC	60.14%
2. VIRTU Americas LLC	19.46%
3. G1 Execution Services, LLC	13.76%
4. Two Sigma Securities, LLC	3.88%
5. UBS Securities, LLC	1.84%
6. NYSE Arca	0.44%
7. NASDAQ Execution Services, LLC	0.17%
8. Cboe EDGX U.S. Equities Exchange	0.11%
9. Cboe BZX U.S. Equities Exchange	0.11%
10. NYSE American	0.08%

Information Concerning Significant Venues :

1. Citadel Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	60.68%
Limit orders as percentage of total non-directed orders	33.78%
Other orders as percentage of total non-directed orders	75.00%

2. VIRTU Americas LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	19.69%
Limit orders as percentage of total non-directed orders	8.11%
Other orders as percentage of total non-directed orders	25.00%

3. G1 Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	13.81%
Limit orders as percentage of total non-directed orders	12.16%
Other orders as percentage of total non-directed orders	0.00%

4. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	3.97%
Limit orders as percentage of total non-directed orders	0.00%
Other orders as percentage of total non-directed orders	0.00%

5. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	1.86%
Limit orders as percentage of total non-directed orders	1.35%
Other orders as percentage of total non-directed orders	0.00%

6. NYSE Arca

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	21.62%
Other orders as percentage of total non-directed orders	0.00%

7. NASDAQ Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	8.11%
Other orders as percentage of total non-directed orders	0.00%

8. Cboe EDGX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	5.41%
Other orders as percentage of total non-directed orders	0.00%

9. Cboe BZX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	5.41%
Other orders as percentage of total non-directed orders	0.00%

10. NYSE American

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	4.05%
Other orders as percentage of total non-directed orders	0.00%

Exchange - Listed Options

Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	46.09%
Limit orders as percentage of total non-directed orders	53.91%
Other orders as percentage of total non-directed orders	0.00%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Cboe Options Exchange	31.25%
2. NYSE Arca Options	21.09%
3. NASDAQ PHLX Options	12.50%
4. NASDAQ Options Market	6.25%
5. BOX Option Exchange	5.47%
6. Cboe BZX Options Exchange, Inc.	4.69%
7. Cboe EDGX Option Exchange	4.69%
8. The MIAX Options Exchange	4.69%
9. NYSE American Options	2.34%
10. ISE Gemini Options Exchange	2.34%

Information Concerning Significant Venues :

1. Cboe Options Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	57.63%
Limit orders as percentage of total non-directed orders	8.70%
Other orders as percentage of total non-directed orders	0.00%

2. NYSE Arca Options

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	1.69%
Limit orders as percentage of total non-directed orders	37.68%
Other orders as percentage of total non-directed orders	0.00%

3. NASDAQ PHLX Options

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	13.56%
Limit orders as percentage of total non-directed orders	11.59%
Other orders as percentage of total non-directed orders	0.00%

4. NASDAQ Options Market

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	1.69%
Limit orders as percentage of total non-directed orders	10.14%
Other orders as percentage of total non-directed orders	0.00%

5. BOX Option Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	10.17%
Limit orders as percentage of total non-directed orders	1.45%
Other orders as percentage of total non-directed orders	0.00%

6. Cboe BZX Options Exchange, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	1.69%
Limit orders as percentage of total non-directed orders	7.25%
Other orders as percentage of total non-directed orders	0.00%

7. Cboe EDGX Option Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	8.70%
Other orders as percentage of total non-directed orders	0.00%

8. The MIAX Options Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	5.08%
Limit orders as percentage of total non-directed orders	4.35%
Other orders as percentage of total non-directed orders	0.00%

9. NYSE American Options

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	1.69%
Limit orders as percentage of total non-directed orders	2.90%
Other orders as percentage of total non-directed orders	0.00%

10. ISE Gemini Options Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	1.69%
Limit orders as percentage of total non-directed orders	2.90%
Other orders as percentage of total non-directed orders	0.00%