

Note: Broker-dealers are required to disclose any material arrangements with the venues listed in each section below, including, but not limited to, any internalization or payment for order flow arrangements.

**FXA
SAGEPOINT FINANCIAL, INC.
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SUITE 2000
PHOENIX, AZ 85012**

SEC Rule 606 Quarterly Report for the Quarter Ending March 31, 2018

Securities Listed on New York Stock Exchange

Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total market orders	92.75%
Limit orders as percentage of total limit orders	6.23%
Other orders as percentage of total other orders	1.02%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Citadel Securities LLC	43.42%
2. VIRTU Americas LLC	41.09%
3. Two Sigma Securities, LLC	4.79%
4. G1 Execution Services, LLC	3.65%
5. Cboe BYX U.S. Equities Exchange	2.62%
6. Instinet LLC	1.07%
7. BNY Mellon Capital Markets LLC	1.02%
8. New York Stock Exchange	0.86%
9. Cboe EDGX U.S. Equities Exchange	0.61%
10. UBS Securities, LLC	0.45%

Information Concerning Significant Venues :

1. Citadel Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	44.17%
Limit orders as percentage of total limit orders	25.00%
Other orders as percentage of total other orders	88.00%

2. VIRTU Americas LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	41.78%
Limit orders as percentage of total limit orders	36.84%
Other orders as percentage of total other orders	4.00%

3.	Two Sigma Securities, LLC		
	Types of Orders Routed to Venue :		
	Market orders as percentage of total market orders		4.90%
	Limit orders as percentage of total limit orders		3.95%
	Other orders as percentage of total other orders		0.00%
4.	G1 Execution Services, LLC		
	Types of Orders Routed to Venue :		
	Market orders as percentage of total market orders		3.84%
	Limit orders as percentage of total limit orders		1.32%
	Other orders as percentage of total other orders		0.00%
5.	Cboe BYX U.S. Equities Exchange		
	Types of Orders Routed to Venue :		
	Market orders as percentage of total market orders		2.74%
	Limit orders as percentage of total limit orders		1.32%
	Other orders as percentage of total other orders		0.00%
6.	Instinet LLC		
	Types of Orders Routed to Venue :		
	Market orders as percentage of total market orders		0.97%
	Limit orders as percentage of total limit orders		2.63%
	Other orders as percentage of total other orders		0.00%
7.	BNY Mellon Capital Markets LLC		
	Types of Orders Routed to Venue :		
	Market orders as percentage of total market orders		1.10%
	Limit orders as percentage of total limit orders		0.00%
	Other orders as percentage of total other orders		0.00%
8.	New York Stock Exchange		
	Types of Orders Routed to Venue :		
	Market orders as percentage of total market orders		0.00%
	Limit orders as percentage of total limit orders		12.50%
	Other orders as percentage of total other orders		8.00%
9.	Cboe EDGX U.S. Equities Exchange		
	Types of Orders Routed to Venue :		
	Market orders as percentage of total market orders		0.00%
	Limit orders as percentage of total limit orders		9.87%
	Other orders as percentage of total other orders		0.00%
10.	UBS Securities, LLC		
	Types of Orders Routed to Venue :		
	Market orders as percentage of total market orders		0.49%
	Limit orders as percentage of total limit orders		0.00%
	Other orders as percentage of total other orders		0.00%

Securities Listed on The Nasdaq Stock Market

Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total market orders	88.75%
Limit orders as percentage of total limit orders	8.84%
Other orders as percentage of total other orders	2.41%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Citadel Securities LLC	42.89%
2. VIRTU Americas LLC	41.97%
3. Two Sigma Securities, LLC	4.64%
4. G1 Execution Services, LLC	3.83%
5. Cboe BYX U.S. Equities Exchange	1.92%
6. Cboe EDGX U.S. Equities Exchange	1.30%
7. NASDAQ Execution Services, LLC	1.11%
8. Instinet LLC	0.87%
9. BNY Mellon Capital Markets LLC	0.74%
10. UBS Securities, LLC	0.43%

Information Concerning Significant Venues :

1. Citadel Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	43.18%
Limit orders as percentage of total limit orders	29.37%
Other orders as percentage of total other orders	82.05%

2. VIRTU Americas LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	43.66%
Limit orders as percentage of total limit orders	33.57%
Other orders as percentage of total other orders	10.26%

3. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	5.01%
Limit orders as percentage of total limit orders	2.10%
Other orders as percentage of total other orders	0.00%

4. G1 Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	3.97%
Limit orders as percentage of total limit orders	3.50%
Other orders as percentage of total other orders	0.00%

5. Cboe BYX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	2.02%
Limit orders as percentage of total limit orders	1.40%
Other orders as percentage of total other orders	0.00%

6. Cboe EDGX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	14.69%
Other orders as percentage of total other orders	0.00%

7. NASDAQ Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	12.59%
Other orders as percentage of total other orders	0.00%

8. Instinet LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.91%
Limit orders as percentage of total limit orders	0.70%
Other orders as percentage of total other orders	0.00%

9. BNY Mellon Capital Markets LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.77%
Limit orders as percentage of total limit orders	0.70%
Other orders as percentage of total other orders	0.00%

10. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.35%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	5.13%

Securities Listed on NYSE American or Regional Exchanges

Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total market orders	92.58%
Limit orders as percentage of total limit orders	6.48%
Other orders as percentage of total other orders	0.94%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Citadel Securities LLC	42.19%
2. VIRTU Americas LLC	41.37%
3. Two Sigma Securities, LLC	4.59%
4. G1 Execution Services, LLC	3.32%
5. Instinet LLC	2.54%
6. Cboe BYX U.S. Equities Exchange	2.46%
7. NASDAQ Execution Services, LLC	1.03%
8. BNY Mellon Capital Markets LLC	0.86%
9. Cboe EDGX U.S. Equities Exchange	0.53%
10. UBS Securities, LLC	0.53%

Information Concerning Significant Venues :

1. Citadel Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	42.47%
Limit orders as percentage of total limit orders	29.75%
Other orders as percentage of total other orders	100.00%

2. VIRTU Americas LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	42.69%
Limit orders as percentage of total limit orders	28.48%
Other orders as percentage of total other orders	0.00%

3. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	4.92%
Limit orders as percentage of total limit orders	0.63%
Other orders as percentage of total other orders	0.00%

4. G1 Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	3.45%
Limit orders as percentage of total limit orders	1.90%
Other orders as percentage of total other orders	0.00%

5. Instinet LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	2.48%
Limit orders as percentage of total limit orders	3.80%
Other orders as percentage of total other orders	0.00%

6. Cboe BYX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	2.52%
Limit orders as percentage of total limit orders	1.90%
Other orders as percentage of total other orders	0.00%

7. NASDAQ Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	15.82%
Other orders as percentage of total other orders	0.00%

8. BNY Mellon Capital Markets LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.89%
Limit orders as percentage of total limit orders	0.63%
Other orders as percentage of total other orders	0.00%

9. Cboe EDGX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	8.23%
Other orders as percentage of total other orders	0.00%

10. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.49%
Limit orders as percentage of total limit orders	1.27%
Other orders as percentage of total other orders	0.00%

Exchange - Listed Options

Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total market orders	23.88%
Limit orders as percentage of total limit orders	76.12%
Other orders as percentage of total other orders	0.00%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. ISE Gemini Options Exchange	14.93%
2. NASDAQ PHLX Options	14.93%
3. Cboe BZX Options Exchange, Inc.	13.43%
4. BOX Option Exchange	13.43%
5. Cboe Options Exchange	13.43%
6. The MIAX Options Exchange	11.94%
7. NASDAQ Options Market	8.96%
8. NYSE Arca Options	2.99%
9. NYSE American Options	1.49%
10. Cboe EDGX Option Exchange	1.49%

Information Concerning Significant Venues :

1. ISE Gemini Options Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	19.61%
Other orders as percentage of total other orders	0.00%

2. NASDAQ PHLX Options

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	25.00%
Limit orders as percentage of total limit orders	11.76%
Other orders as percentage of total other orders	0.00%

3. Cboe BZX Options Exchange, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	17.65%
Other orders as percentage of total other orders	0.00%

4. BOX Option Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	25.00%
Limit orders as percentage of total limit orders	9.80%
Other orders as percentage of total other orders	0.00%

5. Cboe Options Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	25.00%
Limit orders as percentage of total limit orders	9.80%
Other orders as percentage of total other orders	0.00%

6. The MIAX Options Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	12.50%
Limit orders as percentage of total limit orders	11.76%
Other orders as percentage of total other orders	0.00%

7. NASDAQ Options Market

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	11.76%
Other orders as percentage of total other orders	0.00%

8. NYSE Arca Options

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	3.92%
Other orders as percentage of total other orders	0.00%

9. NYSE American Options

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	6.25%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

10. Cboe EDGX Option Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	6.25%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%