**Note: Broker-dealers are required to disclose any material arrangements with the venues listed in each section below, including, but not limited to, any internalization or payment for order flow arrangements.**

**FXA**

**SAGEPOINT FINANCIAL, INC.20 E. THOMAS ROADSUITE 2000PHOENIX, AZ 85012SEC Rule 606 Quarterly Report for the Quarter Ending June 30, 2018**

**Securities Listed on New York Stock Exchange**

**Summary Statistics:**

 Non-directed orders as percentage of total customer orders 100.00%
 Market orders as percentage of total market orders 92.23%
 Limit orders as percentage of total limit orders 7.00%
 Other orders as percentage of total other orders 0.78%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

|  |
| --- |
|  |
|  1. VIRTU Americas LLC |  43.93% |
|  2. Citadel Securities LLC |  39.60% |
|  3. G1 Execution Services, LLC |  5.00% |
|  4. Two Sigma Securities, LLC |  3.84% |
|  5. Cboe BYX U.S. Equities Exchange |  2.87% |
|  6. Instinet LLC |  1.41% |
|  7. New York Stock Exchange |  0.92% |
|  8. NASDAQ Execution Services, LLC |  0.83% |
|  9. Cboe EDGX U.S. Equities Exchange |  0.58% |
| 10. BNY Mellon Capital Markets LLC |  0.49% |

Information Concerning Significant Venues :
 1. VIRTU Americas LLC
 Types of Orders Routed to Venue :
 Market orders as percentage of total market orders 45.42% Limit orders as percentage of total limit orders 29.17% Other orders as percentage of total other orders 0.00%

 2. Citadel Securities LLC
 Types of Orders Routed to Venue :
 Market orders as percentage of total market orders 39.73% Limit orders as percentage of total limit orders 31.25% Other orders as percentage of total other orders 100.00%

 3. G1 Execution Services, LLC
 Types of Orders Routed to Venue :
 Market orders as percentage of total market orders 5.32% Limit orders as percentage of total limit orders 1.39% Other orders as percentage of total other orders 0.00%

 4. Two Sigma Securities, LLC
 Types of Orders Routed to Venue :
 Market orders as percentage of total market orders 4.00% Limit orders as percentage of total limit orders 2.08% Other orders as percentage of total other orders 0.00%

 5. Cboe BYX U.S. Equities Exchange
 Types of Orders Routed to Venue :
 Market orders as percentage of total market orders 3.06% Limit orders as percentage of total limit orders 0.69% Other orders as percentage of total other orders 0.00%

 6. Instinet LLC
 Types of Orders Routed to Venue :
 Market orders as percentage of total market orders 1.48% Limit orders as percentage of total limit orders 0.69% Other orders as percentage of total other orders 0.00%

 7. New York Stock Exchange
 Types of Orders Routed to Venue :
 Market orders as percentage of total market orders 0.00% Limit orders as percentage of total limit orders 13.19% Other orders as percentage of total other orders 0.00%

 8. NASDAQ Execution Services, LLC
 Types of Orders Routed to Venue :
 Market orders as percentage of total market orders 0.00% Limit orders as percentage of total limit orders 11.81% Other orders as percentage of total other orders 0.00%

 9. Cboe EDGX U.S. Equities Exchange
 Types of Orders Routed to Venue :
 Market orders as percentage of total market orders 0.00% Limit orders as percentage of total limit orders 8.33% Other orders as percentage of total other orders 0.00%

 10. BNY Mellon Capital Markets LLC
 Types of Orders Routed to Venue :
 Market orders as percentage of total market orders 0.53% Limit orders as percentage of total limit orders 0.00% Other orders as percentage of total other orders 0.00%

**Securities Listed on The Nasdaq Stock Market**

**Summary Statistics:**

 Non-directed orders as percentage of total customer orders 100.00%
 Market orders as percentage of total market orders 90.90%
 Limit orders as percentage of total limit orders 8.33%
 Other orders as percentage of total other orders 0.77%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

|  |
| --- |
|  |
|  1. VIRTU Americas LLC |  43.24% |
|  2. Citadel Securities LLC |  40.81% |
|  3. Two Sigma Securities, LLC |  4.87% |
|  4. G1 Execution Services, LLC |  4.48% |
|  5. Cboe BYX U.S. Equities Exchange |  3.01% |
|  6. NASDAQ Execution Services, LLC |  1.02% |
|  7. Cboe EDGX U.S. Equities Exchange |  0.77% |
|  8. Instinet LLC |  0.64% |
|  9. BNY Mellon Capital Markets LLC |  0.51% |
| 10. UBS Securities, LLC |  0.38% |

Information Concerning Significant Venues :
 1. VIRTU Americas LLC
 Types of Orders Routed to Venue :
 Market orders as percentage of total market orders 44.47% Limit orders as percentage of total limit orders 33.08% Other orders as percentage of total other orders 8.33%

 2. Citadel Securities LLC
 Types of Orders Routed to Venue :
 Market orders as percentage of total market orders 41.23% Limit orders as percentage of total limit orders 33.85% Other orders as percentage of total other orders 66.67%

 3. Two Sigma Securities, LLC
 Types of Orders Routed to Venue :
 Market orders as percentage of total market orders 5.14% Limit orders as percentage of total limit orders 2.31% Other orders as percentage of total other orders 0.00%

 4. G1 Execution Services, LLC
 Types of Orders Routed to Venue :
 Market orders as percentage of total market orders 4.58% Limit orders as percentage of total limit orders 3.85% Other orders as percentage of total other orders 0.00%

 5. Cboe BYX U.S. Equities Exchange
 Types of Orders Routed to Venue :
 Market orders as percentage of total market orders 3.03% Limit orders as percentage of total limit orders 3.08% Other orders as percentage of total other orders 0.00%

 6. NASDAQ Execution Services, LLC
 Types of Orders Routed to Venue :
 Market orders as percentage of total market orders 0.00% Limit orders as percentage of total limit orders 12.31% Other orders as percentage of total other orders 0.00%

 7. Cboe EDGX U.S. Equities Exchange
 Types of Orders Routed to Venue :
 Market orders as percentage of total market orders 0.00% Limit orders as percentage of total limit orders 9.23% Other orders as percentage of total other orders 0.00%

 8. Instinet LLC
 Types of Orders Routed to Venue :
 Market orders as percentage of total market orders 0.70% Limit orders as percentage of total limit orders 0.00% Other orders as percentage of total other orders 0.00%

 9. BNY Mellon Capital Markets LLC
 Types of Orders Routed to Venue :
 Market orders as percentage of total market orders 0.42% Limit orders as percentage of total limit orders 1.54% Other orders as percentage of total other orders 0.00%

 10. UBS Securities, LLC
 Types of Orders Routed to Venue :
 Market orders as percentage of total market orders 0.42% Limit orders as percentage of total limit orders 0.00% Other orders as percentage of total other orders 0.00%

**Securities Listed on NYSE American or Regional Exchanges**

**Summary Statistics:**

 Non-directed orders as percentage of total customer orders 100.00%
 Market orders as percentage of total market orders 95.50%
 Limit orders as percentage of total limit orders 4.42%
 Other orders as percentage of total other orders 0.08%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

|  |
| --- |
|  |
|  1. VIRTU Americas LLC |  40.85% |
|  2. Citadel Securities LLC |  39.71% |
|  3. Cboe BYX U.S. Equities Exchange |  6.23% |
|  4. G1 Execution Services, LLC |  4.46% |
|  5. Two Sigma Securities, LLC |  4.33% |
|  6. Instinet LLC |  2.44% |
|  7. NASDAQ Execution Services, LLC |  0.38% |
|  8. UBS Securities, LLC |  0.38% |
|  9. NYSE Arca |  0.29% |
| 10. BNY Mellon Capital Markets LLC |  0.29% |

Information Concerning Significant Venues :
 1. VIRTU Americas LLC
 Types of Orders Routed to Venue :
 Market orders as percentage of total market orders 41.32% Limit orders as percentage of total limit orders 29.52% Other orders as percentage of total other orders 100.00%

 2. Citadel Securities LLC
 Types of Orders Routed to Venue :
 Market orders as percentage of total market orders 39.74% Limit orders as percentage of total limit orders 40.00% Other orders as percentage of total other orders 0.00%

 3. Cboe BYX U.S. Equities Exchange
 Types of Orders Routed to Venue :
 Market orders as percentage of total market orders 6.43% Limit orders as percentage of total limit orders 1.90% Other orders as percentage of total other orders 0.00%

 4. G1 Execution Services, LLC
 Types of Orders Routed to Venue :
 Market orders as percentage of total market orders 4.58% Limit orders as percentage of total limit orders 1.90% Other orders as percentage of total other orders 0.00%

 5. Two Sigma Securities, LLC
 Types of Orders Routed to Venue :
 Market orders as percentage of total market orders 4.49% Limit orders as percentage of total limit orders 0.95% Other orders as percentage of total other orders 0.00%

 6. Instinet LLC
 Types of Orders Routed to Venue :
 Market orders as percentage of total market orders 2.56% Limit orders as percentage of total limit orders 0.00% Other orders as percentage of total other orders 0.00%

 7. NASDAQ Execution Services, LLC
 Types of Orders Routed to Venue :
 Market orders as percentage of total market orders 0.00% Limit orders as percentage of total limit orders 8.57% Other orders as percentage of total other orders 0.00%

 8. UBS Securities, LLC
 Types of Orders Routed to Venue :
 Market orders as percentage of total market orders 0.40% Limit orders as percentage of total limit orders 0.00% Other orders as percentage of total other orders 0.00%

 9. NYSE Arca
 Types of Orders Routed to Venue :
 Market orders as percentage of total market orders 0.00% Limit orders as percentage of total limit orders 6.67% Other orders as percentage of total other orders 0.00%

 10. BNY Mellon Capital Markets LLC
 Types of Orders Routed to Venue :
 Market orders as percentage of total market orders 0.31% Limit orders as percentage of total limit orders 0.00% Other orders as percentage of total other orders 0.00%

**Exchange - Listed Options**

**Summary Statistics:**

 Non-directed orders as percentage of total customer orders 100.00%
 Market orders as percentage of total market orders 25.86%
 Limit orders as percentage of total limit orders 74.14%
 Other orders as percentage of total other orders 0.00%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

|  |
| --- |
|  |
|  1. Cboe Options Exchange |  24.14% |
|  2. NASDAQ Options Market |  17.24% |
|  3. The MIAX Options Exchange |  13.79% |
|  4. NYSE Arca Options |  12.07% |
|  5. NYSE American Options |  8.62% |
|  6. ISE Gemini Options Exchange |  8.62% |
|  7. NASDAQ PHLX Options |  8.62% |
|  8. Cboe EDGX Option Exchange |  3.45% |
|  9. Cboe BZX Options Exchange, Inc. |  1.72% |
| 10. BOX Option Exchange |  1.72% |

Information Concerning Significant Venues :
 1. Cboe Options Exchange
 Types of Orders Routed to Venue :
 Market orders as percentage of total market orders 33.33% Limit orders as percentage of total limit orders 20.93% Other orders as percentage of total other orders 0.00%

 2. NASDAQ Options Market
 Types of Orders Routed to Venue :
 Market orders as percentage of total market orders 0.00% Limit orders as percentage of total limit orders 23.26% Other orders as percentage of total other orders 0.00%

 3. The MIAX Options Exchange
 Types of Orders Routed to Venue :
 Market orders as percentage of total market orders 20.00% Limit orders as percentage of total limit orders 11.63% Other orders as percentage of total other orders 0.00%

 4. NYSE Arca Options
 Types of Orders Routed to Venue :
 Market orders as percentage of total market orders 0.00% Limit orders as percentage of total limit orders 16.28% Other orders as percentage of total other orders 0.00%

 5. NYSE American Options
 Types of Orders Routed to Venue :
 Market orders as percentage of total market orders 26.67% Limit orders as percentage of total limit orders 2.33% Other orders as percentage of total other orders 0.00%

 6. ISE Gemini Options Exchange
 Types of Orders Routed to Venue :
 Market orders as percentage of total market orders 0.00% Limit orders as percentage of total limit orders 11.63% Other orders as percentage of total other orders 0.00%

 7. NASDAQ PHLX Options
 Types of Orders Routed to Venue :
 Market orders as percentage of total market orders 13.33% Limit orders as percentage of total limit orders 6.98% Other orders as percentage of total other orders 0.00%

 8. Cboe EDGX Option Exchange
 Types of Orders Routed to Venue :
 Market orders as percentage of total market orders 0.00% Limit orders as percentage of total limit orders 4.65% Other orders as percentage of total other orders 0.00%

 9. Cboe BZX Options Exchange, Inc.
 Types of Orders Routed to Venue :
 Market orders as percentage of total market orders 0.00% Limit orders as percentage of total limit orders 2.33% Other orders as percentage of total other orders 0.00%

 10. BOX Option Exchange
 Types of Orders Routed to Venue :
 Market orders as percentage of total market orders 6.67% Limit orders as percentage of total limit orders 0.00% Other orders as percentage of total other orders 0.00%