**Note: Broker-dealers are required to disclose any material arrangements with the venues listed in each section below, including, but not limited to, any internalization or payment for order flow arrangements.**

**FXA**

**SAGEPOINT FINANCIAL, INC.20 E. THOMAS ROADSUITE 2000PHOENIX, AZ 85012SEC Rule 606 Quarterly Report for the Quarter Ending June 30, 2018**

**Securities Listed on New York Stock Exchange**

**Summary Statistics:**

Non-directed orders as percentage of total customer orders 100.00%  
 Market orders as percentage of total market orders 92.23%  
 Limit orders as percentage of total limit orders 7.00%  
 Other orders as percentage of total other orders 0.78%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

|  |
| --- |
|  |
| 1. VIRTU Americas LLC | 43.93% |
| 2. Citadel Securities LLC | 39.60% |
| 3. G1 Execution Services, LLC | 5.00% |
| 4. Two Sigma Securities, LLC | 3.84% |
| 5. Cboe BYX U.S. Equities Exchange | 2.87% |
| 6. Instinet LLC | 1.41% |
| 7. New York Stock Exchange | 0.92% |
| 8. NASDAQ Execution Services, LLC | 0.83% |
| 9. Cboe EDGX U.S. Equities Exchange | 0.58% |
| 10. BNY Mellon Capital Markets LLC | 0.49% |

Information Concerning Significant Venues :  
 1. VIRTU Americas LLC  
 Types of Orders Routed to Venue :  
 Market orders as percentage of total market orders 45.42% Limit orders as percentage of total limit orders 29.17% Other orders as percentage of total other orders 0.00%

2. Citadel Securities LLC  
 Types of Orders Routed to Venue :  
 Market orders as percentage of total market orders 39.73% Limit orders as percentage of total limit orders 31.25% Other orders as percentage of total other orders 100.00%

3. G1 Execution Services, LLC  
 Types of Orders Routed to Venue :  
 Market orders as percentage of total market orders 5.32% Limit orders as percentage of total limit orders 1.39% Other orders as percentage of total other orders 0.00%

4. Two Sigma Securities, LLC  
 Types of Orders Routed to Venue :  
 Market orders as percentage of total market orders 4.00% Limit orders as percentage of total limit orders 2.08% Other orders as percentage of total other orders 0.00%

5. Cboe BYX U.S. Equities Exchange  
 Types of Orders Routed to Venue :  
 Market orders as percentage of total market orders 3.06% Limit orders as percentage of total limit orders 0.69% Other orders as percentage of total other orders 0.00%

6. Instinet LLC  
 Types of Orders Routed to Venue :  
 Market orders as percentage of total market orders 1.48% Limit orders as percentage of total limit orders 0.69% Other orders as percentage of total other orders 0.00%

7. New York Stock Exchange  
 Types of Orders Routed to Venue :  
 Market orders as percentage of total market orders 0.00% Limit orders as percentage of total limit orders 13.19% Other orders as percentage of total other orders 0.00%

8. NASDAQ Execution Services, LLC  
 Types of Orders Routed to Venue :  
 Market orders as percentage of total market orders 0.00% Limit orders as percentage of total limit orders 11.81% Other orders as percentage of total other orders 0.00%

9. Cboe EDGX U.S. Equities Exchange  
 Types of Orders Routed to Venue :  
 Market orders as percentage of total market orders 0.00% Limit orders as percentage of total limit orders 8.33% Other orders as percentage of total other orders 0.00%

10. BNY Mellon Capital Markets LLC  
 Types of Orders Routed to Venue :  
 Market orders as percentage of total market orders 0.53% Limit orders as percentage of total limit orders 0.00% Other orders as percentage of total other orders 0.00%

**Securities Listed on The Nasdaq Stock Market**

**Summary Statistics:**

Non-directed orders as percentage of total customer orders 100.00%  
 Market orders as percentage of total market orders 90.90%  
 Limit orders as percentage of total limit orders 8.33%  
 Other orders as percentage of total other orders 0.77%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

|  |
| --- |
|  |
| 1. VIRTU Americas LLC | 43.24% |
| 2. Citadel Securities LLC | 40.81% |
| 3. Two Sigma Securities, LLC | 4.87% |
| 4. G1 Execution Services, LLC | 4.48% |
| 5. Cboe BYX U.S. Equities Exchange | 3.01% |
| 6. NASDAQ Execution Services, LLC | 1.02% |
| 7. Cboe EDGX U.S. Equities Exchange | 0.77% |
| 8. Instinet LLC | 0.64% |
| 9. BNY Mellon Capital Markets LLC | 0.51% |
| 10. UBS Securities, LLC | 0.38% |

Information Concerning Significant Venues :  
 1. VIRTU Americas LLC  
 Types of Orders Routed to Venue :  
 Market orders as percentage of total market orders 44.47% Limit orders as percentage of total limit orders 33.08% Other orders as percentage of total other orders 8.33%

2. Citadel Securities LLC  
 Types of Orders Routed to Venue :  
 Market orders as percentage of total market orders 41.23% Limit orders as percentage of total limit orders 33.85% Other orders as percentage of total other orders 66.67%

3. Two Sigma Securities, LLC  
 Types of Orders Routed to Venue :  
 Market orders as percentage of total market orders 5.14% Limit orders as percentage of total limit orders 2.31% Other orders as percentage of total other orders 0.00%

4. G1 Execution Services, LLC  
 Types of Orders Routed to Venue :  
 Market orders as percentage of total market orders 4.58% Limit orders as percentage of total limit orders 3.85% Other orders as percentage of total other orders 0.00%

5. Cboe BYX U.S. Equities Exchange  
 Types of Orders Routed to Venue :  
 Market orders as percentage of total market orders 3.03% Limit orders as percentage of total limit orders 3.08% Other orders as percentage of total other orders 0.00%

6. NASDAQ Execution Services, LLC  
 Types of Orders Routed to Venue :  
 Market orders as percentage of total market orders 0.00% Limit orders as percentage of total limit orders 12.31% Other orders as percentage of total other orders 0.00%

7. Cboe EDGX U.S. Equities Exchange  
 Types of Orders Routed to Venue :  
 Market orders as percentage of total market orders 0.00% Limit orders as percentage of total limit orders 9.23% Other orders as percentage of total other orders 0.00%

8. Instinet LLC  
 Types of Orders Routed to Venue :  
 Market orders as percentage of total market orders 0.70% Limit orders as percentage of total limit orders 0.00% Other orders as percentage of total other orders 0.00%

9. BNY Mellon Capital Markets LLC  
 Types of Orders Routed to Venue :  
 Market orders as percentage of total market orders 0.42% Limit orders as percentage of total limit orders 1.54% Other orders as percentage of total other orders 0.00%

10. UBS Securities, LLC  
 Types of Orders Routed to Venue :  
 Market orders as percentage of total market orders 0.42% Limit orders as percentage of total limit orders 0.00% Other orders as percentage of total other orders 0.00%

**Securities Listed on NYSE American or Regional Exchanges**

**Summary Statistics:**

Non-directed orders as percentage of total customer orders 100.00%  
 Market orders as percentage of total market orders 95.50%  
 Limit orders as percentage of total limit orders 4.42%  
 Other orders as percentage of total other orders 0.08%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

|  |
| --- |
|  |
| 1. VIRTU Americas LLC | 40.85% |
| 2. Citadel Securities LLC | 39.71% |
| 3. Cboe BYX U.S. Equities Exchange | 6.23% |
| 4. G1 Execution Services, LLC | 4.46% |
| 5. Two Sigma Securities, LLC | 4.33% |
| 6. Instinet LLC | 2.44% |
| 7. NASDAQ Execution Services, LLC | 0.38% |
| 8. UBS Securities, LLC | 0.38% |
| 9. NYSE Arca | 0.29% |
| 10. BNY Mellon Capital Markets LLC | 0.29% |

Information Concerning Significant Venues :  
 1. VIRTU Americas LLC  
 Types of Orders Routed to Venue :  
 Market orders as percentage of total market orders 41.32% Limit orders as percentage of total limit orders 29.52% Other orders as percentage of total other orders 100.00%

2. Citadel Securities LLC  
 Types of Orders Routed to Venue :  
 Market orders as percentage of total market orders 39.74% Limit orders as percentage of total limit orders 40.00% Other orders as percentage of total other orders 0.00%

3. Cboe BYX U.S. Equities Exchange  
 Types of Orders Routed to Venue :  
 Market orders as percentage of total market orders 6.43% Limit orders as percentage of total limit orders 1.90% Other orders as percentage of total other orders 0.00%

4. G1 Execution Services, LLC  
 Types of Orders Routed to Venue :  
 Market orders as percentage of total market orders 4.58% Limit orders as percentage of total limit orders 1.90% Other orders as percentage of total other orders 0.00%

5. Two Sigma Securities, LLC  
 Types of Orders Routed to Venue :  
 Market orders as percentage of total market orders 4.49% Limit orders as percentage of total limit orders 0.95% Other orders as percentage of total other orders 0.00%

6. Instinet LLC  
 Types of Orders Routed to Venue :  
 Market orders as percentage of total market orders 2.56% Limit orders as percentage of total limit orders 0.00% Other orders as percentage of total other orders 0.00%

7. NASDAQ Execution Services, LLC  
 Types of Orders Routed to Venue :  
 Market orders as percentage of total market orders 0.00% Limit orders as percentage of total limit orders 8.57% Other orders as percentage of total other orders 0.00%

8. UBS Securities, LLC  
 Types of Orders Routed to Venue :  
 Market orders as percentage of total market orders 0.40% Limit orders as percentage of total limit orders 0.00% Other orders as percentage of total other orders 0.00%

9. NYSE Arca  
 Types of Orders Routed to Venue :  
 Market orders as percentage of total market orders 0.00% Limit orders as percentage of total limit orders 6.67% Other orders as percentage of total other orders 0.00%

10. BNY Mellon Capital Markets LLC  
 Types of Orders Routed to Venue :  
 Market orders as percentage of total market orders 0.31% Limit orders as percentage of total limit orders 0.00% Other orders as percentage of total other orders 0.00%

**Exchange - Listed Options**

**Summary Statistics:**

Non-directed orders as percentage of total customer orders 100.00%  
 Market orders as percentage of total market orders 25.86%  
 Limit orders as percentage of total limit orders 74.14%  
 Other orders as percentage of total other orders 0.00%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

|  |
| --- |
|  |
| 1. Cboe Options Exchange | 24.14% |
| 2. NASDAQ Options Market | 17.24% |
| 3. The MIAX Options Exchange | 13.79% |
| 4. NYSE Arca Options | 12.07% |
| 5. NYSE American Options | 8.62% |
| 6. ISE Gemini Options Exchange | 8.62% |
| 7. NASDAQ PHLX Options | 8.62% |
| 8. Cboe EDGX Option Exchange | 3.45% |
| 9. Cboe BZX Options Exchange, Inc. | 1.72% |
| 10. BOX Option Exchange | 1.72% |

Information Concerning Significant Venues :  
 1. Cboe Options Exchange  
 Types of Orders Routed to Venue :  
 Market orders as percentage of total market orders 33.33% Limit orders as percentage of total limit orders 20.93% Other orders as percentage of total other orders 0.00%

2. NASDAQ Options Market  
 Types of Orders Routed to Venue :  
 Market orders as percentage of total market orders 0.00% Limit orders as percentage of total limit orders 23.26% Other orders as percentage of total other orders 0.00%

3. The MIAX Options Exchange  
 Types of Orders Routed to Venue :  
 Market orders as percentage of total market orders 20.00% Limit orders as percentage of total limit orders 11.63% Other orders as percentage of total other orders 0.00%

4. NYSE Arca Options  
 Types of Orders Routed to Venue :  
 Market orders as percentage of total market orders 0.00% Limit orders as percentage of total limit orders 16.28% Other orders as percentage of total other orders 0.00%

5. NYSE American Options  
 Types of Orders Routed to Venue :  
 Market orders as percentage of total market orders 26.67% Limit orders as percentage of total limit orders 2.33% Other orders as percentage of total other orders 0.00%

6. ISE Gemini Options Exchange  
 Types of Orders Routed to Venue :  
 Market orders as percentage of total market orders 0.00% Limit orders as percentage of total limit orders 11.63% Other orders as percentage of total other orders 0.00%

7. NASDAQ PHLX Options  
 Types of Orders Routed to Venue :  
 Market orders as percentage of total market orders 13.33% Limit orders as percentage of total limit orders 6.98% Other orders as percentage of total other orders 0.00%

8. Cboe EDGX Option Exchange  
 Types of Orders Routed to Venue :  
 Market orders as percentage of total market orders 0.00% Limit orders as percentage of total limit orders 4.65% Other orders as percentage of total other orders 0.00%

9. Cboe BZX Options Exchange, Inc.  
 Types of Orders Routed to Venue :  
 Market orders as percentage of total market orders 0.00% Limit orders as percentage of total limit orders 2.33% Other orders as percentage of total other orders 0.00%

10. BOX Option Exchange  
 Types of Orders Routed to Venue :  
 Market orders as percentage of total market orders 6.67% Limit orders as percentage of total limit orders 0.00% Other orders as percentage of total other orders 0.00%