

Note: Broker-dealers are required to disclose any material arrangements with the venues listed in each section below, including, but not limited to, any internalization or payment for order flow arrangements.

FXA

**SAGEPOINT FINANCIAL, INC.
20 E. THOMAS ROAD
SUITE 2000
PHOENIX, AZ 85012**

SEC Rule 606 Quarterly Report for the Quarter Ending March 31, 2019

Securities Listed on New York Stock Exchange

Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total market orders	90.74%
Limit orders as percentage of total limit orders	9.09%
Other orders as percentage of total other orders	0.17%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Citadel Securities LLC	55.44%
2. G1 Execution Services, LLC	17.66%
3. VIRTU Americas LLC	16.10%
4. Two Sigma Securities, LLC	5.33%
5. New York Stock Exchange	2.66%
6. UBS Securities, LLC	1.39%
7. NASDAQ Execution Services, LLC	0.64%
8. Cboe EDGX U.S. Equities Exchange	0.52%
9. Cboe BZX U.S. Equities Exchange	0.23%
10. State Street Global Markets, LLC	0.03%

Information Concerning Significant Venues :

1. Citadel Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	58.07%
Limit orders as percentage of total limit orders	28.66%
Other orders as percentage of total other orders	83.33%

2. G1 Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	18.63%
Limit orders as percentage of total limit orders	8.28%
Other orders as percentage of total other orders	0.00%

3. VIRTU Americas LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	16.31%
Limit orders as percentage of total limit orders	14.01%
Other orders as percentage of total other orders	16.67%

4. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	5.46%
Limit orders as percentage of total limit orders	4.14%
Other orders as percentage of total other orders	0.00%

5. New York Stock Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	29.30%
Other orders as percentage of total other orders	0.00%

6. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.50%
Limit orders as percentage of total limit orders	0.32%
Other orders as percentage of total other orders	0.00%

7. NASDAQ Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	7.01%
Other orders as percentage of total other orders	0.00%

8. Cboe EDGX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	5.73%
Other orders as percentage of total other orders	0.00%

9. Cboe BZX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	2.55%
Other orders as percentage of total other orders	0.00%

10. State Street Global Markets, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.03%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

Securities Listed on The Nasdaq Stock Market

Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total market orders	95.73%
Limit orders as percentage of total limit orders	3.55%
Other orders as percentage of total other orders	0.72%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Citadel Securities LLC	57.24%
2. VIRTU Americas LLC	18.17%
3. G1 Execution Services, LLC	15.01%
4. Two Sigma Securities, LLC	6.00%
5. NASDAQ Execution Services, LLC	1.69%
6. UBS Securities, LLC	1.33%
7. Cboe EDGX U.S. Equities Exchange	0.50%
8. Sagepoint Financial, Inc.	0.04%
9. Cboe BZX U.S. Equities Exchange	0.04%

Information Concerning Significant Venues :

1. Citadel Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	59.00%
Limit orders as percentage of total limit orders	16.16%
Other orders as percentage of total other orders	25.00%

2. VIRTU Americas LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	17.67%
Limit orders as percentage of total limit orders	20.20%
Other orders as percentage of total other orders	75.00%

3. G1 Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	15.64%
Limit orders as percentage of total limit orders	1.01%
Other orders as percentage of total other orders	0.00%

4. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	6.26%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

5. NASDAQ Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	47.47%
Other orders as percentage of total other orders	0.00%

6. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.39%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

7. Cboe EDGX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	14.14%
Other orders as percentage of total other orders	0.00%

8. Sagepoint Financial, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.04%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

9. Cboe BZX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	1.01%
Other orders as percentage of total other orders	0.00%

Securities Listed on NYSE American or Regional Exchanges

Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total market orders	98.24%
Limit orders as percentage of total limit orders	1.73%
Other orders as percentage of total other orders	0.02%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Citadel Securities LLC	58.95%
2. G1 Execution Services, LLC	16.76%
3. VIRTU Americas LLC	16.66%
4. Two Sigma Securities, LLC	5.13%
5. UBS Securities, LLC	1.59%
6. NYSE Arca	0.77%
7. State Street Global Markets, LLC	0.05%
8. NASDAQ Execution Services, LLC	0.05%
9. NYSE American	0.02%
10. Cboe BZX U.S. Equities Exchange	0.02%

Information Concerning Significant Venues :

1. Citadel Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	59.61%
Limit orders as percentage of total limit orders	20.83%
Other orders as percentage of total other orders	100.00%

2. G1 Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	16.96%
Limit orders as percentage of total limit orders	5.56%
Other orders as percentage of total other orders	0.00%

3. VIRTU Americas LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	16.62%
Limit orders as percentage of total limit orders	19.44%
Other orders as percentage of total other orders	0.00%

4. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	5.20%
Limit orders as percentage of total limit orders	1.39%
Other orders as percentage of total other orders	0.00%

5. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.59%
Limit orders as percentage of total limit orders	1.39%
Other orders as percentage of total other orders	0.00%

6. NYSE Arca

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	44.44%
Other orders as percentage of total other orders	0.00%

7. State Street Global Markets, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.02%
Limit orders as percentage of total limit orders	1.39%
Other orders as percentage of total other orders	0.00%

8. NASDAQ Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	2.78%
Other orders as percentage of total other orders	0.00%

9. NYSE American

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	1.39%
Other orders as percentage of total other orders	0.00%

10. Cboe BZX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	1.39%
Other orders as percentage of total other orders	0.00%

Exchange - Listed Options

Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total market orders	55.45%
Limit orders as percentage of total limit orders	44.55%
Other orders as percentage of total other orders	0.00%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Cboe Options Exchange	30.69%
2. NASDAQ PHLX Options	22.77%
3. BOX Option Exchange	14.85%
4. NASDAQ Options Market	7.92%
5. NYSE Arca Options	5.94%
6. Cboe BZX Options Exchange, Inc.	5.94%
7. NYSE American Options	3.96%
8. ISE Gemini Options Exchange	2.97%
9. Cboe EDGX Option Exchange	1.98%
10. Cboe C2 Options Exchange	0.99%

Information Concerning Significant Venues :

1. Cboe Options Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	41.07%
Limit orders as percentage of total limit orders	17.78%
Other orders as percentage of total other orders	0.00%

2. NASDAQ PHLX Options

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	26.79%
Limit orders as percentage of total limit orders	17.78%
Other orders as percentage of total other orders	0.00%

3. BOX Option Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	23.21%
Limit orders as percentage of total limit orders	4.44%
Other orders as percentage of total other orders	0.00%

4. NASDAQ Options Market

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.79%
Limit orders as percentage of total limit orders	15.56%
Other orders as percentage of total other orders	0.00%

5. NYSE Arca Options

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	13.33%
Other orders as percentage of total other orders	0.00%

6. Cboe BZX Options Exchange, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.79%
Limit orders as percentage of total limit orders	11.11%
Other orders as percentage of total other orders	0.00%

7. NYSE American Options

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	8.89%
Other orders as percentage of total other orders	0.00%

8. ISE Gemini Options Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	6.67%
Other orders as percentage of total other orders	0.00%

9. Cboe EDGX Option Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.79%
Limit orders as percentage of total limit orders	2.22%
Other orders as percentage of total other orders	0.00%

10. Cboe C2 Options Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	2.22%
Other orders as percentage of total other orders	0.00%