



SagePoint Financial, Inc.  
Member FINRA, SIPC, and Registered Investment Adviser  
20 E. Thomas Rd., Suite 2000  
Phoenix, AZ 85012  
sagepointfinancial.com

## FACTS

### WHAT DOES SAGEPOINT FINANCIAL, INC. (“SAGEPOINT”) DO WITH YOUR PERSONAL INFORMATION?

#### Why?

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

#### What?

The types of personal information we collect and share depend on the product or service you have with us. This information can include:

- Social Security number or other tax identification number and income
- Account balances and transaction history
- Credit history and risk tolerance

#### How?

All financial companies need to share customers’ personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers’ personal information; the reasons Sagepoint chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does Sagepoint share?	Can you limit this sharing?
<b>For our everyday business purposes—</b> such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
<b>For our marketing purposes—</b> to offer our products and services to you	Yes	No
<b>For joint marketing with other financial companies</b>	No	We don’t share
<b>For our affiliates’ everyday business purposes—</b> information about your transactions and experiences	Yes	No
<b>For our affiliates’ everyday business purposes—</b> information about your creditworthiness	Yes	Yes
<b>For nonaffiliates to market to you</b>	Yes	Yes

#### To limit our sharing

Contact us in writing: SagePoint Financial, Inc., Attn: Legal Department, 20 E. Thomas Rd., Suite 2000, Phoenix, AZ 85012

Visit us online: [sagepointfinancial.com](http://sagepointfinancial.com)

**Please note:**

If you are a new customer, we can begin sharing your information 30 days from the date we sent this notice. When you are no longer our customer, we continue to share your information as described in this notice.

However, you can contact us at anytime to limit our sharing.

#### Questions?

Contact us in writing: SagePoint Financial, Inc., Attn: Legal Department, 20 E. Thomas Rd., Suite 2000, Phoenix, AZ 85012 or go to [sagepointfinancial.com](http://sagepointfinancial.com)

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Who we are

**Who is providing this notice:** SagePoint Financial, Inc; and on behalf of VISION2020 Wealth Management Corporation., a Registered Investment Advisor

What we do

**How does SAGEPOINT protect my personal information?** To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.  
Employees are trained on the proper handling of customer personal information.

**How does SAGEPOINT collect my personal information?** We collect your personal information, for example, when you

- open an account or apply for insurance;
- seek advice about your investments;
- provide account information; or
- make deposits or withdrawals from your account.

We also collect your personal information from others, such as credit bureaus, affiliates, or other companies.

**Why can't I limit all sharing?** Federal law gives you the right to limit only

- sharing for affiliates' everyday business purposes—information about your creditworthiness
- affiliates from using your information to market to you
- sharing for nonaffiliates to market to you

State laws and individual companies may give you additional rights to limit sharing. See below for more on your rights under state law.

Definitions

**Affiliates** Companies related by common ownership or control. They can be financial and nonfinancial companies.

- *SagePoint's affiliates are all companies that are owned by Advisor Group, Inc.*

**Nonaffiliates** Companies not related by common ownership or control. They can be financial and nonfinancial companies.

- *Nonaffiliates SagePoint shares with may include other financial services firms that your current representative may transfer his/her securities registration to should they leave SAGEPOINT or unaffiliated representatives that may purchase your representative's securities business. Your representative's ability to service your account will be restricted if you opt-out or do not opt-in to sharing your personal information.*

**Joint marketing** A formal agreement between nonaffiliated financial companies that together market financial products or services to you.

- *SagePoint does not have joint marketing arrangements.*

Other Important Information

- In order to comply with applicable laws and legal requirements, we may disclose information to government entities, self-regulatory organizations, or other third parties in response to court orders, subpoenas, government inquiries, or other mandatory legal processes.
- If *You* live in Alaska, California, Louisiana, Massachusetts, Maine, North Dakota or Vermont, under certain circumstances, we are required as a financial institution to obtain your affirmative consent to share your *personal information* with a Nonaffiliate. If *you* live in any state other than those listed, under certain circumstances, *You* may opt-out of Sagepoint sharing your *Personal Information* with a Nonaffiliate. If you opt-out or opt-in, you will continue to receive annual privacy notices as required by the SEC. However, you do not need to respond to maintain a previous opt-in or opt-out designation.