

Note: Broker-dealers are required to disclose any material arrangements with the venues listed in each section below, including, but not limited to, any internalization or payment for order flow arrangements.

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SEC Rule 606 Quarterly Report for the Quarter Ending June 30, 2017

Securities Listed on New York Stock Exchange

Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total market orders	93.71%
Limit orders as percentage of total limit orders	6.12%
Other orders as percentage of total other orders	0.17%

Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. KCG Americas LLC	41.69%
2. Citadel Securities LLC	41.19%
3. Two Sigma Securities, LLC	4.88%
4. G1 Execution Services, LLC	4.47%
5. BATS BYX Exchange	2.15%
6. Instinet LLC	1.65%
7. New York Stock Exchange	1.16%
8. BATS BZX Exchange	1.08%
9. EDGX Exchange, Inc.	0.74%
10. UBS Securities, LLC	0.58%

Information Concerning Significant Venues :

1. KCG Americas LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	42.98%
Limit orders as percentage of total limit orders	22.97%
Other orders as percentage of total other orders	0.00%

2. Citadel Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	42.37%
Limit orders as percentage of total limit orders	21.62%
Other orders as percentage of total other orders	100.00%

3. Two Sigma Securities, LLC		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	5.03%
	Limit orders as percentage of total limit orders	2.70%
	Other orders as percentage of total other orders	0.00%
4. G1 Execution Services, LLC		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	4.77%
	Limit orders as percentage of total limit orders	0.00%
	Other orders as percentage of total other orders	0.00%
5. BATS BYX Exchange		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	2.29%
	Limit orders as percentage of total limit orders	0.00%
	Other orders as percentage of total other orders	0.00%
6. Instinet LLC		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	1.68%
	Limit orders as percentage of total limit orders	1.35%
	Other orders as percentage of total other orders	0.00%
7. New York Stock Exchange		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	0.00%
	Limit orders as percentage of total limit orders	18.92%
	Other orders as percentage of total other orders	0.00%
8. BATS BZX Exchange		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	0.00%
	Limit orders as percentage of total limit orders	17.57%
	Other orders as percentage of total other orders	0.00%
9. EDGX Exchange, Inc.		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	0.00%
	Limit orders as percentage of total limit orders	12.16%
	Other orders as percentage of total other orders	0.00%
10. UBS Securities, LLC		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	0.44%
	Limit orders as percentage of total limit orders	2.70%
	Other orders as percentage of total other orders	0.00%

Securities Listed on The Nasdaq Stock Market

Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total market orders	90.46%
Limit orders as percentage of total limit orders	8.86%
Other orders as percentage of total other orders	0.68%

Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. KCG Americas LLC	44.41%
2. Citadel Securities LLC	40.46%
3. Two Sigma Securities, LLC	3.13%
4. G1 Execution Services, LLC	2.72%
5. BATS BYX Exchange	2.72%
6. Instinet LLC	1.91%
7. BATS BZX Exchange	1.36%
8. EDGX Exchange, Inc.	1.09%
9. NASDAQ Execution Services, LLC	0.95%
10. UBS Securities, LLC	0.68%

Information Concerning Significant Venues :

1. KCG Americas LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	45.78%
Limit orders as percentage of total limit orders	30.77%
Other orders as percentage of total other orders	40.00%

2. Citadel Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	41.57%
Limit orders as percentage of total limit orders	29.23%
Other orders as percentage of total other orders	40.00%

3. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	3.46%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

4. G1 Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	2.86%
Limit orders as percentage of total limit orders	1.54%
Other orders as percentage of total other orders	0.00%

5. BATS BYX Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	3.01%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

6. Instinet LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	2.11%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

7. BATS BZX Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	15.38%
Other orders as percentage of total other orders	0.00%

8. EDGX Exchange, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	12.31%
Other orders as percentage of total other orders	0.00%

9. NASDAQ Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	10.77%
Other orders as percentage of total other orders	0.00%

10. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.75%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

Securities Listed on NYSE MKT or Regional Exchanges

Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total market orders	91.73%
Limit orders as percentage of total limit orders	8.18%
Other orders as percentage of total other orders	0.09%

Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. KCG Americas LLC	40.99%
2. Citadel Securities LLC	40.28%
3. Two Sigma Securities, LLC	4.22%
4. Instinet LLC	3.78%
5. BATS BYX Exchange	3.25%
6. G1 Execution Services, LLC	2.99%
7. BATS BZX Exchange	1.41%
8. UBS Securities, LLC	0.97%
9. NYSE Arca	0.88%
10. EDGX Exchange, Inc.	0.62%

Information Concerning Significant Venues :

1. KCG Americas LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	42.47%
Limit orders as percentage of total limit orders	24.73%
Other orders as percentage of total other orders	0.00%

2. Citadel Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	41.80%
Limit orders as percentage of total limit orders	22.58%
Other orders as percentage of total other orders	100.00%

3. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	4.03%
Limit orders as percentage of total limit orders	6.45%
Other orders as percentage of total other orders	0.00%

4. Instinet LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	3.55%
Limit orders as percentage of total limit orders	6.45%
Other orders as percentage of total other orders	0.00%

5. BATS BYX Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	3.45%
Limit orders as percentage of total limit orders	1.08%
Other orders as percentage of total other orders	0.00%

6. G1 Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	2.97%
Limit orders as percentage of total limit orders	3.23%
Other orders as percentage of total other orders	0.00%

7. BATS BZX Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	17.20%
Other orders as percentage of total other orders	0.00%

8. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.05%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

9. NYSE Arca

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.10%
Limit orders as percentage of total limit orders	9.68%
Other orders as percentage of total other orders	0.00%

10. EDGX Exchange, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	7.53%
Other orders as percentage of total other orders	0.00%

Exchange - Listed Options

Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	100.00%
Other orders as percentage of total other orders	0.00%

Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. NASDAQ Options Market	34.78%
2. The MIAX Options Exchange	17.39%
3. NASDAQ PHLX Options	17.39%
4. BOX Option Exchange	13.04%
5. ISE Gemini Options Exchange	8.70%
6. NYSE Amex Options	4.35%
7. The Chicago Board Options Exchange	4.35%

Information Concerning Significant Venues :

1. NASDAQ Options Market

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	34.78%
Other orders as percentage of total other orders	0.00%

2. The MIAX Options Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	17.39%
Other orders as percentage of total other orders	0.00%

3. NASDAQ PHLX Options

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	17.39%
Other orders as percentage of total other orders	0.00%

4. BOX Option Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	13.04%
Other orders as percentage of total other orders	0.00%

5. ISE Gemini Options Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	8.70%
Other orders as percentage of total other orders	0.00%

6. NYSE Amex Options

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	4.35%
Other orders as percentage of total other orders	0.00%

7. The Chicago Board Options Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	4.35%
Other orders as percentage of total other orders	0.00%

Summary Statistics

Total Customer Orders	1,209
Total Non-Directed Orders	1,209
Non-Directed Market Orders	1,133
Non-Directed Limit Orders	74
Non-Directed Other Orders	2

Venue	Total Non-Directed Orders	Non-Directed Market Orders	Non-Directed Limit Orders	Non-Directed Other Orders
KCGM	504	487	17	0
CDRG	498	480	16	2
SOHO	59	57	2	0
ETMM	54	54	0	0
YBAT	26	26	0	0
INCA	20	19	1	0
NYSE	14	0	14	0
ZBAT	13	0	13	0
EDGX	9	0	9	0
UBSS	7	5	2	0