

PERSHING BROKERAGE FEE SCHEDULE

| ITEM | FEE | FREQUENCY |
|--|---|--------------------------|
| Retirement Account Fees | | |
| Traditional IRA, Roth IRA, SEP IRA, Educational Savings Accounts | \$35.00 | Annual (in arrears) |
| Mutual Fund Only, Including DirectChoice | \$0.00 | Annual (in arrears) |
| SIMPLE, SARSEP, Qualified Retirement Plans (Money Purchase, Profit Sharing, Individual 401(k), 403(b)(7) Custodial Accounts) | \$50.00 | At set up, then annually |
| ESOP | \$50.00 | Per Transaction |
| QRP and 403(b) Loan | \$50.00 | Per Transaction |
| Conversion from existing IRA to Mutual Fund Only IRA | \$50.00 | Per Transaction |
| Account Termination / Transfer Fee | \$95.00 | Per Transaction |
| Corestone / Checkwriting Fees | | |
| Silver Account* | \$25.00* | Annual, on anniversary |
| Silver Plus Account | \$50.00 | Annual, on anniversary |
| Gold Account | \$100.00 | Annual, on anniversary |
| Platinum Account | \$150.00 | Annual, on anniversary |
| Corporate Gold Account | \$150.00 | Annual, on anniversary |
| Corporate Platinum Account | \$250.00 | Annual, on anniversary |
| Stop Payment | \$25.00 | Per Transaction |
| Returned checks or ACH debits | \$25.00 | Per Transaction |
| Retrieve copy of paid check | \$2.50 | Per Transaction |
| ATM Withdrawal (individual banks may charge an ATM surcharge fee) | \$0.00 | Per Transaction |
| Copy of paid check or MasterCard draft | \$2.50 | Per Transaction |
| Cash advance fee (non-ATM) | 0.25% of transaction; \$2.50 minimum | Per Transaction |
| Personal Checks – initial order | \$0.00 | Per Transaction |
| Personal Checks – reorder (Silver, Silver Plus) | \$12.50 | Per Transaction |
| Personal Checks – reorder (Gold, Corporate Gold) | \$10.00 | Per Transaction |
| Personal Checks – reorder (Platinum, Corporate Platinum) | \$7.50 | Per Transaction |
| Carbon Copy Checks – initial order | \$15.00 | Per Transaction |
| Carbon Copy Checks – reorders | \$25.00 | Per Transaction |
| Business Checks – initial order | \$50.00 | Per Transaction |
| Business Checks – reorder | \$40.00 | Per Transaction |
| Business Checks – reorder binder | \$20.00 | Per Transaction |
| Overnight Check Mailing | \$20.00 | |
| Account Service Fees | | |
| Inactive Account Fee – Retail Accounts | \$50.00 | Annually |
| Inactive Account Fee – Mutual Fund Only Accounts, DirectChoice | \$0.00 | Annually |
| Cost Basis Tracking | \$0.00 | |
| Alternative Investment – Subscription, Redemption, Re-Registration | \$50.00 | Per Transaction |
| Alternative Investment – review of new product | \$300.00 | Per CUSIP |
| Alternative Investment – Registered Products | \$35.00 | Annually |
| Alternative Investment – Unregistered Products | \$85.00 | Annually |
| UBTI Tax Return Filing (IRA Form 990-T) | \$50.00 | Annually |
| Standard overnight delivery of check | \$12.00 | Per Transaction |
| Saturday overnight delivery of check | \$14.64 | Per Transaction |
| Fed Funds Wire | \$25.00 | Per Transaction |

* Silver annual fee is waived if the account's cash sweep balance exceeds \$25,000, or if the individual account or SelectLink group maintains a total net worth of \$150,000 or greater.

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|--|---------|-----------------|
| Insufficient Funds (return check / ACH debit) | \$25.00 | Per Transaction |
| Check Stop Payment Fee | \$10.00 | Per Transaction |
| Forced Statements (generated with no activity) | \$1.00 | Per Month |
| Account Low Balance Fee† | \$2.00† | Monthly |

Transactional Charges

| | | |
|---|----------------------------|--|
| Outgoing Account Transfer Fee | \$95.00 | Per Transaction |
| Termination of Account (Non-Retirement) | \$0.00 | Per Transaction |
| Equity Dividend Reinvestment | \$1.00 | Per Transaction / Minimum \$4.00 required |
| Mutual Fund Dividend Reinvestment | \$0.00 | Per Transaction |
| Paper Statement / Confirm Surcharge | \$0.00 | Per Transaction |
| DirectChoice Fund account Buy / Sell | \$0.00 | Per Transaction |
| Short Term Redemption of NTF Fund | \$25.00 | Per Transaction |
| Options Assignment | \$15.00 | Per Transaction |
| Margin / Regulation T Extension | \$50.00 | Per Transaction |
| Voluntary Reorganization | \$25.00 | Per Transaction |
| Mandatory Reorganization | \$10.00 | Per Transaction |
| Foreign Stock Execution (in addition to standard transaction charges) | \$75.00; \$50.00 Euroclear | Per Transaction |
| Bond / Fixed Income Redemption | \$10.00 | Per Transaction |
| Certificate Safekeeping | \$3.00 | Per month, per security |
| Deposit of Physical Security | Varies | Per transaction, pass through charge from select transfer agents |
| Incoming DRS (Direct Registration System) transfer | \$15.00 | Per Transaction; plus pass through charge from select transfer agents |
| Outgoing DRS (Direct Registration System) transfer | \$15.00 | Per Transaction |
| Accommodation Transfer | \$40.00 | Per Transaction |
| Legal, GNMA, and Restricted Transfer | \$30.00 | Per Transaction |
| Cash Due Interest | Current Applicable Rate | Monthly |

Margin Accounts (rates as of 6/1/17)

| Debit Balance | Interest Rate |
|----------------------|---------------|
| \$0.00 to \$9,999 | PBLR + 2.75% |
| \$10,000 to \$29,999 | PBLR + 2.50% |
| \$30,000 to \$49,999 | PBLR + 2.00% |
| \$50,000+ | PBLR + 1.25% |

Trading Fees — WMP Advisory

| | | |
|-----------------------|------------------|-----------------|
| Equity / ETF | \$0.00 to \$9.00 | Per Transaction |
| Load Funds @ NAV | \$0.00 to \$9.00 | Per Transaction |
| No Load Funds | \$0.00 to \$9.00 | Per Transaction |
| Mutual Fund PIP / SWP | \$0.00 to \$9.00 | Per Transaction |
| Mutual Fund Exchange | \$0.00 to \$9.00 | Per Transaction |
| Fixed Income | \$0.00 to \$9.00 | Per Transaction |
| UIT | \$0.00 to \$9.00 | Per Transaction |
| Options | \$0.00 to \$9.00 | Per Transaction |
| Confirmation Fee | \$0.00 | Per Transaction |

| ITEM | FEE | FREQUENCY |
|--|-------------------|-----------------|
| Trading Fees — Non-WMP Advisory | | |
| Equity / ETF | \$0.00 to \$26.00 | Per Transaction |
| Load Funds @ NAV | \$0.00 to \$18.00 | Per Transaction |
| No Load Funds | \$0.00 to \$18.00 | Per Transaction |
| Mutual Fund PIP / SWP | \$0.00 | Per Transaction |
| Mutual Fund Exchange | \$0.00 | Per Transaction |
| Fixed Income | \$0.00 to \$39.50 | Per Transaction |
| UIT | \$0.00 to \$39.50 | Per Transaction |
| Options | \$0.00 to \$26.00 | Per Transaction |
| Confirmation Fee | \$4.00 | Per Transaction |

† Not charged on IA, retirement, accounts with net worth of \$10,000+, or accounts SelectLinked to an account of \$10,000+.

Specific client programs may have fees that vary. In these instances, please consult the client/account agreement for pricing schedule.

All fees are assessed against cash or cash sweep in the account. If funds are not available to cover fees or activity-related debits, holdings sufficient to cover any outstanding debit may be liquidated by FSC Securities Corporation. Fees are subject to change and are depicted on a per account basis and are not prorated when an account is closed prior to its anniversary date. Not all fees may be shown or are applicable to all account types. Please speak with your investment professional for additional details.

FSC Securities Corporation is a registered broker-dealer and Registered Investment Advisor, member FINRA/SIPC. Advisor Group is a holding company.

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